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PTO/SB/21 (08-03)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/803,513	
	Filing Date	March 18, 2004	
	First Named Inventor	Kelly B. POWERS	
	Art Unit	N/A	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	8	Attorney Docket Number	480062001900

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  PTO-1449 (4 pages, with 4 references)
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MORRISON & FOERSTER LLP Todd W. Wight - 45,218
Signature	
Date	June 17, 2004

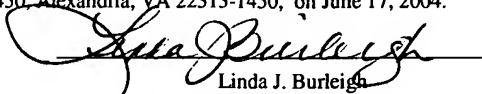
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: June 17, 2004	Signature:  (Linda J. Burleigh)



PATENT  
Docket No. 480062001900

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Linda J. Burleigh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

POWERS et al.

Serial No.: 10/803,513

Filing Date: March 18, 2004

For: CONNECTOR SYSTEM FOR A  
PROXIMALLY TRIMMABLE  
CATHETER

Examiner: Not Yet Assigned

Group Art Unit: 3763

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

☐ With the application; accordingly, no fee or separate requirements are required.

☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.

☐ A fee is required. A check in the amount of \_\_\_ is enclosed.

☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.

☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.

☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.

☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO 1449, indicating that the information has been considered and made of record herein.

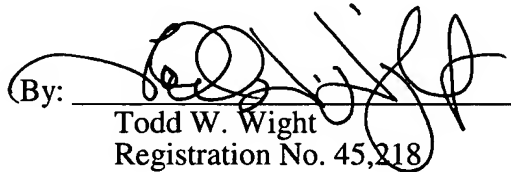
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

(iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

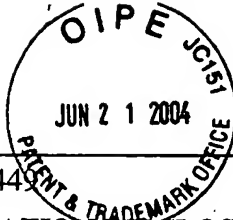
In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing (480062001900). However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 17, 2004

Respectfully submitted,

(By:   
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<b>Form PTO-1449</b> <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	Docket Number 480062001900	Application Number 10/803,513
	Applicant <b>POWERS et al.</b>	
	Filing Date March 18, 2004	Group Art Unit 3763
	Mailing Date June 17, 2004	

**U.S. PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	11/25/75	3,921,631	Thompson			
	2.	01/16/79	4,134,402	Mahurkar			
	3.	02/14/84	D272,651	Mahurkar			
	4.	09/24/85	4,543,087	Sommercorn et al.			
	5.	02/04/86	4,568,329	Mahurkar			
	6.	04/22/86	4,583,968	Mahurkar			
	7.	06/03/86	4,592,749	Ebling et al.			
	8.	11/18/86	4,623,327	Mahurkar			
	9.	02/17/87	4,643,711	Bates			
	10.	06/23/87	4,675,004	Hadford et al.			
	11.	07/21/87	4,681,122	Winters et al.			
	12.	07/28/87	4,682,978	Martin			
	13.	09/08/87	4,692,141	Mahurkar			
	14.	02/09/88	4,723,948	Clark et al.			
	15.	04/19/88	4,738,658	Magro et al.			
	16.	09/13/88	4,770,652	Mahurkar			
	17.	09/1988	4,772,268	Bates			
	18.	02/28/89	4,808,155	Mahurkar			
	19.	06/27/89	4,842,582	Mahurkar			
	20.	01/23/90	4,895,561	Mahurkar			
	21.	10/01/91	5,053,003	Dadson et al.			
	22.	10/01/91	5,053,004	Markel et al.			
	23.	10/01/91	5,053,023	Martin			
	24.	10/15/91	5,057,073	Martin			
	25.	10/22/91	5,059,170	Cameron			
	26.	08/04/92	5,135,599	Martin et al.			
	27.	10/20/92	5,156,592	Martin et al.			

**EXAMINER:****DATE CONSIDERED:**

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>	Docket Number 480062001900	Application Number 10/803,513
	Applicant  POWERS et al.	
	Filing Date March 18, 2004	Group Art Unit 3763
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	28.	02/23/93	5,188,593	Martin			
	29.	03/02/93	5,190,520	Fenton, Jr. et al.			
	30.	03/23/93	5,195,962	Martin et al.			
	31.	03/30/93	5,197,951	Mahurkar			
	32.	05/04/93	5,207,650	Martin			
	33.	05/11/93	5,209,723	Twardowski et al.			
	34.	06/22/93	5,221,255	Mahurkar			
	35.	06/22/93	5,221,256	Mahurkar			
	36.	05/17/94	5,312,337	Flaherty et al.			
	37.	06/28/94	5,324,274	Martin			
	38.	08/30/94	5,342,386	Trotta			
	39.	09/27/94	5,350,358	Martin			
	40.	11/01/94	5,360,397	Pinchuk			
	41.	12/20/94	5,374,245	Mahurkar			
	42.	01/03/95	5,378,230	Mahurkar			
	43.	01/10/95	5,380,276	Miller et al.			
	44.	03/21/95	5,399,172	Martin et al.			
	45.	04/11/95	5,405,320	Twardowski et al.			
	46.	04/11/95	5,405,341	Martin			
	47.	04/25/95	5,409,644	Martin et al.			
	48.	05/23/95	5,417,668	Setzer et al.			
	49.	12/05/95	5,472,417	Martin et al.			
	50.	12/05/95	5,472,432	Martin			
	51.	01/02/96	5,480,380	Martin			
	52.	01/23/96	5,486,159	Mahurkar			
	53.	04/23/96	5,509,897	Twardowski et al.			
	54.	10/29/96	5,569,182	Twardowski et al.			
	55.	04/29/97	5,624,413	Markel et al.			
	56.	11/11/97	5,685,867	Twardowski et al.			
	57.	01/06/98	5,704,915	Melsky et al.			
	58.	02/17/98	5,718,678	Fleming, III			

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				Applicant  <div style="text-align: center;">POWERS et al.</div>			
				Filing Date March 18, 2004		Group Art Unit 3763	
				Mailing Date June 17, 2004			

	59.	06/30/98	5,772,643	Howell et al.			
	60.	07/07/98	5,776,111	Tesio			
	61.	08/25/98	5,797,869	Martin et al.			
	62.	09/15/98	5,807,311	Palestrant			
	63.	08/31/99	5,944,732	Raulerson et al.			
	64.	10/05/99	5,961,485	Martin			
	65.	10/05/99	5,961,486	Twardowski et al.			
	66.	11/02/99	5,976,103	Martin			
	67.	11/23/99	5,989,213	Maginot			
	68.	06/13/00	6,074,374	Fulton			
	69.	07/11/00	6,086,555	Eliassen et al.			
	70.	08/08/00	6,099,519	Olsen et al.			
	71.	12/05/00	6,156,016	Maginot			
	72.	02/20/01	6,190,349	Ash et al.			
	73.	02/20/01	6,190,371	Maginot et al.			
	74.	03/27/01	6,206,849	Martin et al.			
	75.	09/25/01	6,293,927	McGuckin, Jr.			
	76.	11/15/01	2001/0041857	Sansoucy			
	77.	12/25/01	6,332,874	Eliassen et al.			
	78.	07/23/02	6,423,050	Twardowski et al.			
	79.	07/25/02	2002/0099326 A1	Wilson et al.			
	80.	07/25/02	2002/0099327 A1	Wilson et al.			
	81.	04/10/03	2003/0066218	Schweikert			
	82.	05/08/03	2003/0088213 A1	Schweikert et al.			
	83.	07/15/03	6,592,565	Twardowski et al.			
	84.	08/14/03	2003/0153898	Schon et al.			
	85.	10/02/03	2003/0187411 A1	Constantz			
	86.	10/23/03	2003/0199853	Olsen et al.			
	87.	10/28/03	6,638,242	Wilson et al.			
	88.	10/30/03	2003/0201639 A1	Korkor			
	89.	04/20/04	6,722,705 B2	Korkor			

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	Mailing Date June 17, 2004	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation	
							YES	NO
	90.	08/01/02	WO 02/058776 A2					
	91.	04/17/03	WO 03/030960 A2					
	92.	04/17/03	WO 03/030962 A2					
	93.	04/24/03	WO 03/033049 A2					

## OTHER DOCUMENTS

*(including author, title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.